

I hereby certify that this correspondence is being hand delivered to Examining Group 2100 on December 18, 2001.

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Raymond A. Joao
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12-26-01

RJ251

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Received

APPLICANT : RAYMOND A. JOAO DEC 18 2001

SERIAL NO. : 09/639,908 Tech. Technology Center 2100

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FOR : APPARATUS AND METHOD FOR PROVIDING FINANCIAL INFORMATION AND/OR INVESTMENT INFORMATION

EXAMINER : J. BERGIN GROUP : 2164

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL AMENDMENT

Sir:

This is a Supplemental Amendment in the above-identified application. Entry of this Supplemental Amendment, before any Official Action is taken in this case is respectfully requested.